

Inventor(s) CHOO, Yen
Appln. 09/646,353 or Patent No. _____
Filed September 18, 2000 or Issued: _____
For: NUCLEIC ACID BINDING PROTEINS

Atty. Dkt.
PM 273884/P00428US
M# / Client Ref

SMALL ENTITY STATEMENT CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27 (b)) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled as above and described in

X ☐ the specification filed herewith
one → ☒ Application No. 09/646,353, filed September 18, 2000
box → ☐ Patent No. _____, issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each (small entity) person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention

X → ☐ there is no such person, concern, or organization.
one → ☒ such persons, concerns or organizations are listed in (A) and (B) below:

(A) FULL NAME of assignee/licensee/grantee/conveyee* Gendaq Limited
ADDRESS 1-3 Burtonhole Lane, Mill Hill London NW7 1AD United Kingdom
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee*
ADDRESS
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

1. CHOO, Yen
NAME OF INVENTOR
[Signature]
Signature of Inventor
12/2/01
Date

2. ISALAN, Mark
NAME OF INVENTOR
[Signature]
Signature of Inventor
13/2/01
Date

3. _____
NAME OF INVENTOR

Signature of Inventor

Date

FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PL
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.55)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PM & S
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED NUCLEIC ACID BINDING PROTEINS

the specification of which (CHECK applicable BOX(ES))

X ☐ A. ☐ is attached hereto.
BOX(ES) → B. ☒ was filed on September 18, 2000 as U.S. Application No. 09/646,353
→ C. ☐ was filed as PCT International Application No. PCT/ / on /

and (if applicable to U.S. or PCT application) was amended on /

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(a) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assigned disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number	Country	Day/MONTH/Year Filed	Date first laid-open or Published	Date Patented or Granted	Priority NOT Claimed
9805578.7	Great Britain	17/March/1998			
9806895.0	Great Britain	31/March/1998			
9807246.5	Great Britain	03/April/1998			

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application.

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)

Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority NOT Claimed
PCT/GB99/00816	17/March/1999	pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Suto LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3919, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete name/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assigned/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16773	Paul E. White, Jr.	32011	Stephen C. Glazier	31361	Adam R. Hess	41835
Raymond F. Lippitt	17519	Glenn J. Perry	28458	Ruth N. Morduch	31044	William P. Atkins	38821
G. Lloyd Knight	17698	Kendrew M. Coxon	30368	Richard H. Zaiten	27248	Paul L. Sharer	36004
Kevin E. Joyce	20508	G. Paul Edge	24238	Roger R. Wise	31204		
George M. Sinila	18221	Lynn E. Eccleston	35861	Jay M. Finkelman	21082		
Donald J. Bard	25323	Timothy J. Kima	34852	Michael R. Dzwonczyk	36787		
Peter W. Gowdley	25872	David A. Jakopin	32995	W. Patrick Bengtsson	32456		
Dale S. Lazar	28872	Mark G. Paulson	30793	Jack S. Barufka	37087		

(1) INVENTOR'S SIGNATURE:

Date: 12/02/01

Yen	CHOO		
First	Middle Initial	Family Name	
Residence	Cambridge	United Kingdom	United Kingdom
City	State/Foreign Country	Country of Citizenship	
Post Office Address	c/o MRC Laboratory of Molecular Biology, Medical Research Council, Hills Road, Cambridge CB2-2QH, United Kingdom		
(include Zip Code)			

(2) INVENTOR'S SIGNATURE:

Date: 13/2/01

Mark	ISALAN		
First	Middle Initial	Family Name	
Residence	London	United Kingdom	United Kingdom
City	State/Foreign Country	Country of Citizenship	
Post Office Address	24 Shotfield Avenue, East Sheen, London SW14 8EA, United Kingdom		
(include Zip Code)			

FOR ADDITIONAL INVENTORS, "X" box ☐ and proceed on the attached page to list each additional inventor.
☐ See additional foreign priorities on attached page (incorporated herein by reference)

Atty Dkt. No. PM273884

09646353 "41835" 25294960